Bangladesh Securities and Exchange Commission

Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd

নং- বিএসইসি/এনফোর্সমেন্ট/৪১৪২/২০২৬/৫৭০
তারিখঃ আগস্ট ১০, ২০২৬

Mr. Hadayetul Hoque
(BOID: 1203370075914791)
House no. 09, Vill: Bisweshori Devi Road,
Post: Mymensingh, Mymensingh.

বিষয়: আদেশ।

কমিশনের আদেশ নং- **বিএসইসি/এনফোর্সমেন্ট/৪১৪২/২০২৬/৫৬৯ তারিখঃ আগস্ট ১০, ২০২৬** এর সত্যায়িত অনুলিপি আপনার অবগতি ও প্রয়োজনীয় ব্যবস্থা গ্রহনের জন্য এতদসঙ্গে সংযুক্ত করা হলো।

বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশনের পক্ষে,

১৩/০৮/২০২৬

মোঃ সুলতান সালাহ উদ্দিন
উপপরিচালক (এনফোর্সমেন্ট)
IP PABX: 88-02-09609-100600, Ext- 1220
ই-মেইল- ssuddin@sec.gov.bd

অবগতির জন্য অনুলিপি:

১. চেয়ারম্যান মহোদয়ের একান্ত সচিব, বিএসইসি।
২. পিও টু সকল কমিশনার, বিএসইসি।
৩. পিও টু নির্বাহী পরিচালক, এনফোর্সমেন্ট, বিএসইসি।
৪. পিও টু নির্বাহী পরিচালক, এমআইএস, বিএসইসি।
৫. পিও টু নির্বাহী পরিচালক, এমআইএডি, বিএসইসি।(BSEC/MSI/2023-1102/493)

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নং-বিএসইসি/এনফোর্সমেন্ট/৪১৪২/২০২৬/৫৬৯

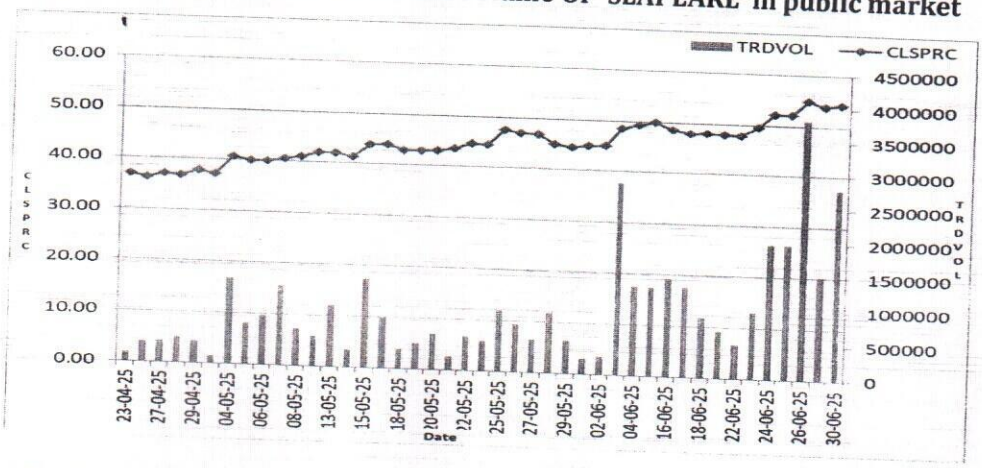
তারিখঃ আগস্ট ১০, ২০২৬

আদেশ

যেহেতু, Securities and Exchange Ordinance, 1969 এর Section 2 (cc) মোতাবেক কমিশন অর্থ বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন যা বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন আইন, ১৯৯৩-এর অধীনে গঠিত;

যেহেতু, জুলাই ০১, ২০২৫ তারিখের কমিশনের তদন্ত (investigation) আদেশ নং BSEC/MSI/2023-1102/438 দ্বারা প্রদত্ত ক্ষমতাবলে ঢাকা স্টক এক্সচেঞ্জ লিমিটেড-এর একটি তদন্ত দল ২৪.০৪.২০২৫ থেকে ৩০.০৬.২০২৫ তারিখ পর্যন্ত সময়ে Sea Pearl Beach Resort and Spa Limited (SEAPEARL) এর শেয়ার লেনদেন সংক্রান্ত তদন্ত কার্যক্রম পরিচালনা করেছে এবং কমিশনে এ সংক্রান্ত একটি প্রতিবেদন দাখিল করেছে। তদন্ত প্রতিবেদন থেকে অন্যান্যের মধ্যে নিম্নলিখিত বিষয়গুলি উপস্থাপিত হয়:

Trends of closing price and trade volume OF 'SEAPEARL' in public market



Yesterday Closing Price (YCP) of the share of Sea Pearl Beach Resort & Spa Limited as on 24-04-2025 was Tk. 36.90 and closing price of the same was Tk. 54.20 as on 30-06-2025. During this period from 24-04-2025 to 30-06-2025, price of the share of Sea Pearl Beach Resort & Spa Limited was increased by Tk. 17.30 which is approximately 46.88% higher compared to closing price of 23-04-2025.

A. Trading of Hadayetul Hoque:

Observation:

After examining account opening forms and relevant documents, it is revealed that Hadayetul Hoque maintains his BO account with Anwer Khan Modern Securities Ltd while trading the shares of 'SEAPEARL' for the period from 24.04.2025 to 30.06.2025. Details of the accounts are delineated as follows:

BO ID	Client Code	Client Name	Name of TREC Holder/DP	Relationship
1203370075914791	24001	Hadayetul Hoque	Anwer Khan Modern Securities Ltd.	Single Account

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Individual trade pattern in public market, Effects of 'SEAPEARL' in both block and public market, Trade monitoring screenshots and Gain/Loss of Hadayetul Hoque by trading of 'SEAPEARL' share are given below:

A. Individual Trade Pattern of SEAPEARL by Hadayetul Hoque

Date	Code # 24001			
	BO ID # 1203370075914791			
	HADAYETUL HOQUE			
	Anwer Khan Modern Securities Ltd			
	Buy Qty	Rate (W.Avg.)	Sale Qty.	Rate (W.Avg.)
12-05-2025	0	0.00	32293	41.95
13-05-2025	0	0.00	510998	41.38
14-05-2025	0	0.00	41797	41.22
15-05-2025	0	0.00	310550	41.64
17-05-2025	55859	44.38	0	0.00
18-05-2025	0	0.00	31661	43.06
21-05-2025	18975	43.50	0	0.00
22-05-2025	111545	44.47	0	0.00
24-05-2025	231744	44.32	0	0.00
25-05-2025	489139	46.92	0	0.00
27-05-2025	0	0.00	162555	46.86
28-05-2025	0	0.00	623415	46.01
29-05-2025	0	0.00	225635	44.88
03-06-2025	877069	48.71	0	0.00
04-06-2025	50681	49.28	0	0.00
15-06-2025	28000	48.54	0	0.00
16-06-2025	0	0.00	1750	50.00
Total	**1863012**		**1940654**	

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B. Effects of SEAPEARL by Hadayetul Hoque

Date	Buy			Sale				Cost of shares sold		Stock Position			Comparison with the Market					
	Qty.	Price (W.Avg.)	Amount	Qty.	Price (W.Avg.)	Amount	Bonus Share Credited	Price (W.Avg.)	Amount	Qty.	Price (W.Avg.)	Amount	Mkt Trade Qty at DSE	Mkt Close Price at DSE	Market price Changed by	Client Buy (%)	Client Sale (%)	Client Trade (%)
Opening Balance										1124300	37.16	41777526.41						
12-5-25	0	0.00	0.00	85293	39.19	3342283.40	0	37.16	3169377.00	1039007	37.16	38608149.41	500645	41.90	1.95%	0.00%	17.04%	17.04%
13-5-25	0	0.00	0.00	537498	41.20	22147121.90	0	37.16	19972726.93	501509	37.16	18635422.48	920878	41.90	0.00%	0.00%	58.37%	58.37%
14-5-25	0	0.00	0.00	41797	41.22	1722848.20	0	37.16	1553122.18	459712	37.16	17082300.29	255816	41.30	-1.43%	0.00%	16.34%	16.34%
15-5-25	0	0.00	0.00	323708	41.49	13431464.60	0	37.16	12028568.46	136004	37.16	5053731.83	1316303	43.80	6.05%	0.00%	24.59%	24.59%
17-5-25	55859	44.38	2479077.20	0	0.00	0.00	0	0.00	0.00	191863	39.26	7532809.03	796477	43.90	0.23%	7.01%	0.00%	7.01%
18-5-25	0	0.00	0.00	31661	43.06	1363305.10	0	39.26	1243055.03	160202	39.26	6289754.01	294892	42.90	-2.28%	0.00%	10.74%	10.74%
21-5-25	18975	43.50	825412.50	0	0.00	0.00	0	0.00	0.00	179177	39.71	7115166.51	200864	43.50	1.16%	9.45%	0.00%	9.45%
22-5-25	111545	44.47	4960613.90	0	0.00	0.00	0	0.00	0.00	290722	41.54	12075780.41	501219	44.60	2.53%	22.25%	0.00%	22.25%
24-5-25	231744	44.32	10271872.60	0	0.00	0.00	0	0.00	0.00	522466	42.77	22347653.01	481006	44.50	-0.22%	48.18%	0.00%	48.18%
25-5-25	489139	46.92	22949352.70	0	0.00	0.00	0	0.00	0.00	1011605	44.78	45297005.71	1142757	47.50	6.74%	42.80%	0.00%	42.80%
27-5-25	0	0.00	0.00	162555	46.86	7617283.90	0	44.78	7278784.47	849050	44.78	38018221.24	487993	46.80	-0.21%	0.00%	33.31%	33.31%
28-5-25	0	0.00	0.00	623415	46.01	28683429.20	0	44.78	27914880.62	225635	44.78	10103340.61	886555	45.00	-3.85%	0.00%	70.32%	70.32%
29-5-25	0	0.00	0.00	225635	44.88	10126211.70	0	44.78	10103340.61	0	0.00	0.00	485730	44.50	-1.11%	0.00%	46.45%	46.45%
03-6-25	877069	48.71	42719041.10	0	0.00	0.00	0	0.00	0.00	877069	48.71	42719041.10	2815157	48.50	7.78%	31.16%	0.00%	31.16%
04-6-25	50681	49.28	2497566.30	0	0.00	0.00	0	0.00	0.00	927750	48.74	45216607.40	1359208	49.30	1.65%	3.73%	0.00%	3.73%
15-6-25	28000	48.54	1358998.10	0	0.00	0.00	0	0.00	0.00	955750	48.73	46575605.50	1304505	50.00	1.42%	2.15%	0.00%	2.15%
16-6-25	0	0.00	0.00	1750	50.00	87500.00	0	48.73	85280.99	954000	48.73	46490324.51	1440972	48.50	-3.00%	0.00%	0.12%	0.12%
Total	1863012	47.27	88061934.40	2033312	43.54	88521448.00	0	40.99	83349136.30	954000	48.73	46490324.51						

Summary																	
Block Buy			Block Sale			Total Buy			Total Sale			Cost of Shares Sold		Stock Position			
Qty	Price (W.Avg.)	Amount	Qty	Price (W.Avg.)	Amount	Qty	Price (W.Avg.)	Amount	Qty	Price (W.Avg.)	Amount	Price (W.Avg.)	Amount	Qty	Price (W.Avg.)	Amount	
0	0.00	0.00	92658	37.69	3491854.00	1,863,012	47.27	88061934.40	2,033,312	43.54	88521448.00	40.99	83349136.30	954000	48.73	46490324.51	

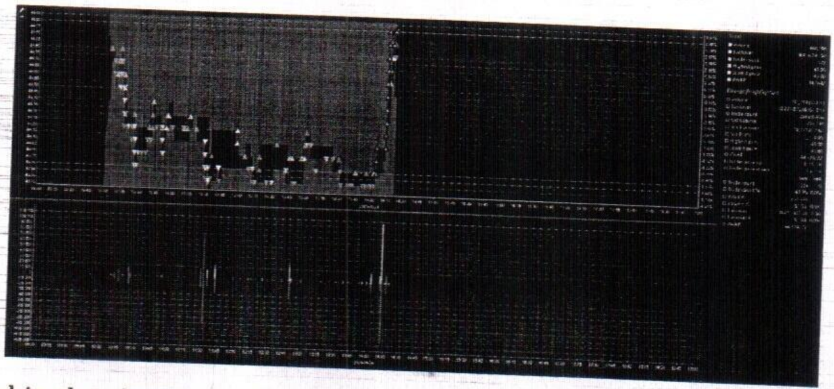
c) **Trade monitoring screenshots of Hadayetul Hoque (public market):**

The Investigation Team of IED collected Trade monitoring screenshots from the Surveillance Department of DSE on some trading date(s) where appearance of active trading of *Hadayetul Hoque* was observed which influenced to uplift the price of shares of 'SEAPEARL' during the investigation period.

After scrutinizing the Trade monitoring screenshots collected from Surveillance Department of DSE, it is found that *Hadayetul Hoque* was involved in trading of shares of 'SEAPEARL' which influenced to uplift the price of the said securities on several dates:

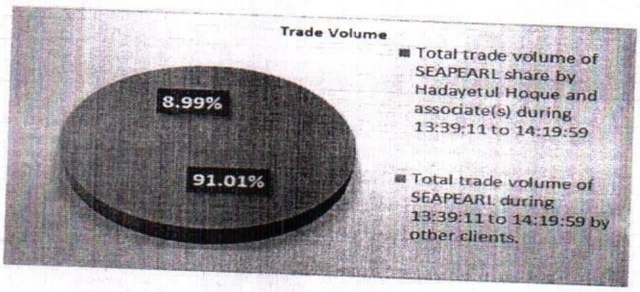
1203370075914791

24-05-2025

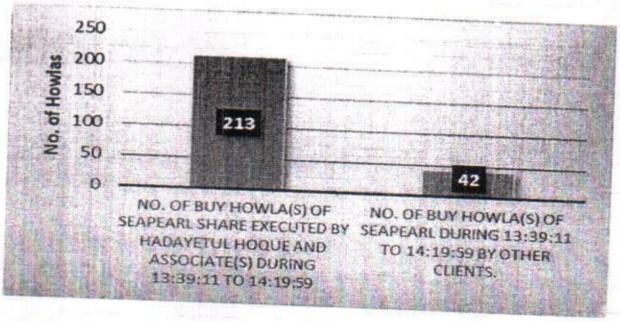


It was observed in the above graph that on 24-05-2025, total number of trades of SEAPEARL in the market was 572, whereas number of trades (buy) executed by Hadayetul Hoque were 234 which is approximately 40.91% of the total number of trades in the market. Again, total traded volume of SEAPEARL in the market on that day was 448,706 whereas buy volume by the said client(s) was 231,744 which is approximately 51.65% of the total traded volume in the market. It is evident that the client had significant participation in the market on 24-05-2025 in trading of SEAPEARL share.

On 24-05-2025, trading of SEAPEARL share was started at Tk. 44.80 which is Tk. 0.20 more than the YCP of Tk. 44.60. On that day, Hadayetul Hoque started series of trading at 13:39:11 at price Tk. 43.80 and induced the same to rise to Tk. 45.00 up to 14:19:59. It can also be observed from the above graph that Hadayetul Hoque executed significant trades during this time period. It is mentionable here that from 13:39:11 to 14:19:59, Hadayetul Hoque bought 163,644 shares of SEAPEARL compared to the total traded share of 179,815. This is shown in the following Pie Chart.

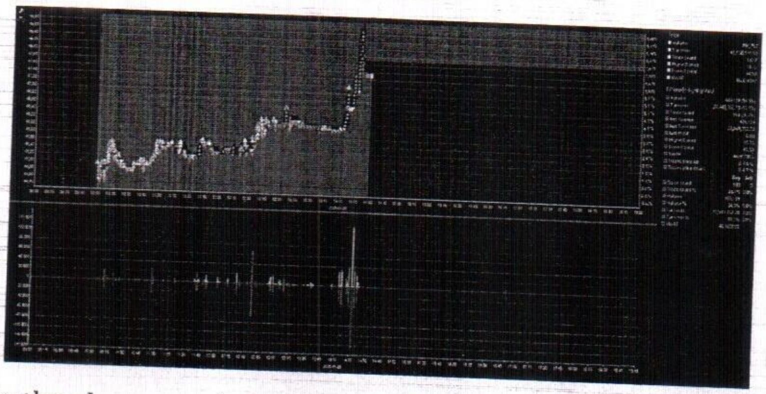


It is also found that during the aforementioned period, Hadayetul Hoque executed 213 howlas to purchase of shares of SEAPEARL compared to the total number of howlas of 255 as shown in the following Bar Chart.



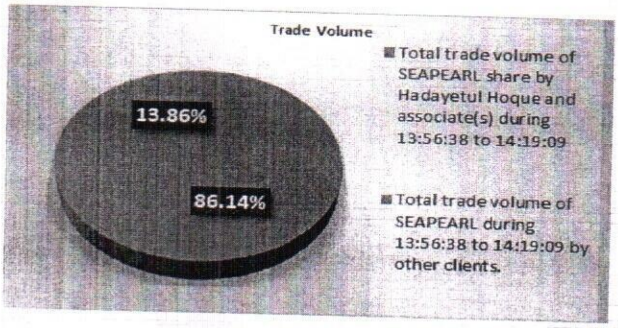
It is observed from the above graphs and screenshot that Hadayetul Hoque bought 91.01% of the total trades of SEAPEARL shares by executing 213 of total 255 howlas from 13:39:11 to 14:19:59 on 24-05-2025. From 13:39:11 to 14:19:59, Hadayetul Hoque induced the price to rise from Tk. 43.80 to Tk. 45.00 (induced the price by Tk. 1.20). Thus it seems that Hadayetul Hoque were actively trying to lift the price of SEAPEARL share.

25-05-2025

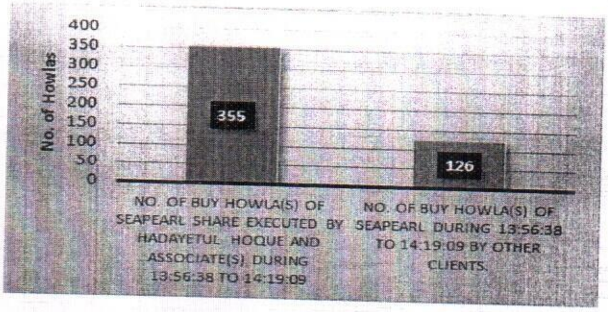


It was observed in the above graph that on 25-05-2025, total number of trades of SEAPEARL in the market was 1617, whereas number of trades (buy) executed by Hadayetul Hoque were 593 which is approximately 36.67% of the total number of trades in the market. Again, total traded volume of SEAPEARL in the market on that day was 896,757 whereas buy volume by the said client(s) was 489,139 which is approximately 54.55% of the total traded volume in the market. It is evident that the client had significant participation in the market on 25-05-2025 in trading of SEAPEARL share.

On 25-05-2025, trading of SEAPEARL share was started at Tk. 45.00 which is Tk. 0.50 more than the YCP of Tk. 44.50. On that day, Hadayetul Hoque started series of trading at 13:56:38 at price Tk. 46.00 and induced the same to rise to Tk. 48.70 up to 14:19:09. It can also be observed from the above graph that Hadayetul Hoque executed significant trades during this time period. It is mentionable here that from 13:56:38 to 14:19:09, Hadayetul Hoque bought 284,115 shares of SEAPEARL compared to the total traded share of 329,835. This is shown in the following Pie Chart.



It is also found that during the aforementioned period, Hadayetul Hoque executed 355 howlas to purchase of shares of SEAPEARL compared to the total number of howlas of 481 as shown in the following Bar Chart.



It is observed from the above graphs and screenshot that Hadayetul Hoque bought 86.14% of the total trades of SEAPEARL shares by executing 355 of total 481 howlas from 13:56:38 to 14:19:09 on 25-05-2025. From 13:56:38 to 14:19:09, Hadayetul Hoque induced the price to rise from Tk. 46.00 to Tk. 48.70 (induced the price by Tk. 2.70). Thus it seems that Hadayetul Hoque were actively trying to lift the price of SEAPEARL share. At the end of the day price of SEAPEARL share was increased by Tk. 3.00 which is approximately 6.74% higher than YCP.

C. Gain/Loss of SEAPEARL by Hadayetul Hoque:

Realized Capital gain(loss)per unit	2.54	Average Sale Price - Average Cost Price
Realized Capital gain(loss)%	6.21%	Gain (loss) Per Unit/Cost Per Unit
Realized Capital gain(loss)TK	5,172,311.70	Total Sale Quantity x Gain (loss) Per Unit
Closing Price (30-06-2025)	54.20	Closing Price of Last Investigation Date
Unrealized gain(loss) per unit	5.47	Closing Price of Last Investigation Date - Average Price of Stock Position
Unrealized gain(loss) %	11.22%	Unrealized gain (loss) per unit /Average Price of Stock Position
Unrealized gain(loss) TK	5,216,475.49	Quantity of Stock Positon x Unrealized gain (loss) per unit

As per DSE trade data, it was observed that HADAYETUL HOQUE bought 1,863,012 shares and sold 2,033,312 shares of SEAPEARL from public market during the period from 24-04-2025 to 30-06-2025. With the said BO account(s), HADAYETUL HOQUE traded 9.82% shares of SEAPEARL and realized a capital gain/loss of Tk. 5,172,311.70 from the trading of SEAPEARL share during the investigation period. The said client(s) had also unrealized gain/loss of TK. 5,216,475.49 during the period.

Contraventions:

Mr. Hadayetul Hoque manipulated the market price of shares of SEAPEARL by conducting active and aggressive trade and made huge capital gain by deceiving general investors. Thus, it appears that Mr. Hadayetul Hoque violated the followings:

- **Section 17(e)(v) of Securities and Exchange Ordinance, 1969** by directly or indirectly effecting a series of transactions in the shares of 'SEAPEARL' creating the appearance of active trading therein and raising price of the said share.

যেহেতু, উপর্যুক্ত আলোচ্য বিষয়ে সংশ্লিষ্ট সিকিউরিটিজ সংক্রান্ত আইন ভঙ্গের কারণে কমিশনের এনফোর্সমেন্ট বিভাগ কর্তৃক এপ্রিল ০২, ২০২৬ তারিখের বিএসইসি/এনফোর্সমেন্ট/৪১৪২/২০২৬/২১৭ স্মারকমূলে Mr. Hadayetul Hoque-কে এপ্রিল ২০, ২০২৬ তারিখে কারণ প্রদর্শন সহ শুনানীতে উপস্থিত হতে বলা হয়। পরবর্তীতে নির্ধারিত মে ১৮, ২০২৬ তারিখে শুনানীতে Mr. Hadayetul Hoque এর পক্ষে Mr. Kaji Md. Tazibul Haque (on behalf) উপস্থিত হয়ে মে ১৩, ২০২৬ তারিখের পত্রের মাধ্যমে নিম্নলিখিত বক্তব্য দাখিল করেন;

"With due respect, I am Hadayetul Hoque, bearing BO ID: 1203370075914791, actively Involved in securities trading business over two decades by complying all securities related laws in my best knowledge and securities trading business is my passion and profession. You are well aware that the capital market of Bangladesh has been going through a very transitional and challenging period for last one decade. Individual investors like me suffered substantial losses due to declining stock prices and high market volatility. As an investor, I always try to invest according to the rules and regulations related to the capital market.

I was notified that the commission has issued show cause cum notice for hearing against me for noncompliance of securities related laws for trading of SEAPEARL shares as mentioned under Section 17(e)(v) of the Securities and Exchange Ordinance, 1969. The notice was given based on the investigation report which was biased, partial and not fair.

As a high net worth of individual, I always try to investment in any share with proper due diligence of company growth prospect, dividend payout ratio, company management reputation and industry outlook. And SEAPEARL has no exception.

As I have mentioned earlier, I have to buy and sell share to realize my profit during this period which is normal course of action. There was no intention to participate in transactions for the purpose of raising the share price. Rather the price increase based on the company fundamental and overall industry outlook.

As a large investor, I should not be responsible as a guilty for my large investment. I never intentionally create any false and misleading appearance of active trading in SEAPEARL. Rather my all buy-sell order placed genuinely for the purpose of execution.

Account No. 24001 (BOID 1203370075914791) - Annexure-A

Date	*Action*	*Quantity*	*Avg. Price*	*Mkt Low*	*Mkt High*	*Remarks*
12-05-2025	*Sale*	*32,293*	*41.95*	*40.7*	*42.5*	
13-05-2025	*Sale*	*510,998*	*41.38*	*40.4*	*43.1*	
14-05-2025	*Sale*	*41,797*	*41.22*	*40.9*	*42.4*	
15-05-2025	*Sale*	*310,550*	*41.64*	*41.0*	*44.0*	
17-05-2025	*Buy*	*55,859*	*44.38*	*43.4*	*45.0*	*Between high & low-no aggressive chasing*
18-05-2025	*Sale*	*31,661*	*43.06*	*42.8*	*44.3*	
21-05-2025	*Buy*	*18,975*	*43.50*	*43.1*	*43.9*	
22-05-2025	*Buy*	*111,545*	*44.47*	*43.4*	*44.8*	*Between high & low-no aggressive chasing*
24-05-2025	*Buy*	*231,744*	*44.32*	*43.8*	*45.0*	
25-05-2025	*Buy*	*489,139*	*46.92*	*44.5*	*48.7*	*Between high & low-no aggressive chasing*
27-05-2025	*Sale*	*162,555*	*46.86*	*46.4*	*47.0*	
28-05-2025	*Sale*	*623,415*	*46.01*	*42.3*	*47.1*	
29-05-2025	*Sale*	*225,635*	*44.88*	*44.1*	*45.5*	
03-06-2025	*Buy*	*877,069*	*48.71*	*45.8*	*49.0*	*Between high & low-no systematic pattern of price uplifting*
04-06-2025	*Buy*	*50,681*	*49.28*	*48.1*	*49.7*	*Between high & low-no aggressive chasing*
15-06-2025	*Buy*	*28,000*	*48.54*	*47.6*	*50.3*	*Close to market low price no sign of price uplifting*
16-06-2025	*Sale*	*1,750*	*50.00*	*48.3*	*50.5*	

Over the entire investigation period (24 April to 30 June 2025), this account purchased a total of 1,863,012 shares and sold 2,033,312 shares. I was a net seller of 170,300 shares. A person who is attempting to manipulate the price upward does not sell more than he buys-on the contrary, he accumulates relentlessly. My net selling demonstrates that I was not trying to drive the price higher; I was, in fact, reducing my overall exposure and realising returns on my investment as the market rose.

I would particularly draw the Commission's attention to the days I purchased shares. On my buying days-17, 21, 22, 24, 25 May and 3, 4, 15 June 2025-my average purchase price was generally either close to the market's lowest price of that day or firmly in the lower half of the day's trading range. For example, on 21 May, 24 May, and 15 June, the average buy price sat right near the daily low. On other days, while the average fell between the high and low, it was never concentrated at the very top of the range. If I were genuinely trying to push the price up, my buying would have been aggressive and directed at the upper end of the day's range, driving the price higher with each trade. The fact that my average buy price was not consistently at the high end, and on several days was near the low, demonstrates that I was simply accepting whatever shares were offered at reasonable prices. This is not the footprint of a manipulator; it is the footprint of a careful, price-conscious buyer executing genuine investment decisions...

The charge under Section 17(e)(v) requires a false or misleading appearance of trading. Every trade I made was real and transparent. I never tried to mislead the market. The price rise in SEAPEARL was driven by general market demand from many investors, not by any trick from me. I was simply one participant among many.

In view of the alleged breach of law against me, my statement is as follows:

1. *I take my Investment decisions very personally and respect the law at all times. The trades executed during the period in question were conducted with the sole intent of optimizing investment returns based on market analysis and company fundamentals. There was no deliberate effort to manipulate the price of SEAPEARL shares or create a misleading appearance of trading activity.*
2. *The observed price movement and trading volume may have been influenced by prevailing market conditions, including market demand, or broader industry trends, rather than any coordinated or manipulative trading activity.*
3. *I would like to emphasize that the trades were conducted independently and not as part of a coordinated effort to artificially influence the market. Any appearance of concentration in trading activity was coincidental and not intended to breach securities regulations.*
4. *I have always operated in good faith and adhered to the rules and regulations of the Bangladesh Securities and Exchange Commission. To further ensure compliance, I am committed to strengthening my knowledge about securities related laws.*

I request the Commission to consider this explanation and the absence of any malicious intent. If there were any unintentional lapses in compliance, I assure the Commission that corrective measures will be taken to prevent recurrence. I remain committed to upholding the integrity of the capital market and cooperating fully with the Commission in this matter.

Considering my statement, I humbly request to clear my name from any accusation of market manipulation and ensure justice to me."

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd

যেহেতু, Mr. Hadayetul Hoque-এর উল্লিখিত বক্তব্য এবং তদন্ত প্রতিবেদন পর্যালোচনায় প্রতীয়মান হয় যে, উপস্থাপিত অভিযোগসমুহ সঠিক ও ইচ্ছাকৃত এবং উপর্যুক্ত কর্মকান্ডের ফলে পুঁজিবাজারের সাধারণ বিনিয়োগকারীগণ ক্ষতিগ্রস্থ হয়েছেন যা পুঁজিবাজার উন্নয়নেরও পরিপন্থী, সেহেতু এক্ষেত্রে Mr. Hadayetul Hoque-এর ব্যাখ্যা কমিশনের নিকট গ্রহণযোগ্য হিসেবে বিবেচিত হয়নি;

যেহেতু, Mr. Hadayetul Hoque-এর উপর্যুক্ত কর্মকান্ড Securities and Exchange Ordinance, 1969 এর Section 17(e)(v) লংঘন করেছে, যা সিকিউরিটিজ আইনের পরিপন্থী;

যেহেতু, Mr. Hadayetul Hoque-এর উপর্যুক্ত কর্মকান্ড Securities and Exchange Ordinance, 1969 এর Section 22 অনুযায়ী শাস্তিযোগ্য অপরাধ;

যেহেতু, কমিশনের বিবেচনায়, সিকিউরিটিজ আইন ও বিধি-বিধান পরিপালনে আলোচ্য ব্যর্থতার জন্য, পুঁজিবাজারের শৃংখলা, স্বচ্ছতা এবং জনস্বার্থে আলোচ্য Mr. Hadayetul Hoque-কে জরিমানা করা প্রয়োজন ও সমীচীন;

অতএব, সেহেতু, কমিশন উল্লিখিত যাবতীয় বিষয় বিবেচনাপূর্বক, Securities and Exchange Ordinance, 1969 (Ordinance No. XVII of 1969) এর Section 22 [যা The Securities and Exchange (Amendment) Act, 2000 দ্বারা সংশোধিত] এ প্রদত্ত ক্ষমতাবলে-

- Mr. Hadayetul Hoque-কে Securities and Exchange Ordinance, 1969 এর Section 17(e)(v) লঙ্ঘনের দায়ে **১৩.০০ (তের) লক্ষ টাকা** অর্থদন্ড ধার্য্য করল। উক্ত অর্থদন্ড অত্র আদেশের ৩০ (ত্রিশ) দিনের মধ্যে 'বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন' এর অনুকূলে ইস্যুকৃত ব্যাংক ড্রাফট/পে-অর্ডারের মাধ্যমে জমা প্রদান করতে হবে, অন্যথায় সিকিউরিটিজ আইন মোতাবেক পরবর্তী আইনানুগ ব্যবস্থা গ্রহণ করা হবে।

বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশনের আদেশক্রমে,

Nahidmahtab

নাহিদ মাহতাব
কমিশনার

বিতরণ:

১. **Mr. Hadayetul Hoque**
(BOID: 1203370075914791)
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